

# CSC 427: Data Structures and Algorithm Analysis

Fall 2007

## Problem-solving approaches

- divide & conquer
  - greedy
  - backtracking
- examples: N-queens, 2-D gels, Boggle

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## Divide & Conquer

RECALL: the divide & conquer approach tackles a complex problem by breaking it into smaller pieces, solving each piece, and combining them into an overall solution

- e.g., merge sort divided the list into halves, conquered (sorted) each half, then merged the results
- e.g., to count number of nodes in a binary tree, break into counting the nodes in each subtree (which are smaller), then adding the results + 1

divide & conquer is applicable when a problem can naturally be divided into independent pieces

sometimes, the pieces to be conquered can be handled in sequence

- i.e., arrive at a solution by making a sequence of choices/actions
- in these situations, we can consider specialized classes of algorithms
  - greedy algorithms
  - backtracking algorithms

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## Greedy algorithms

the greedy approach to problem solving involves making a sequence of choices/actions, each of which simply looks best at the moment

local view: choose the locally optimal option  
hopefully, a sequence of locally optimal solutions leads to a globally optimal solution

example: optimal change

- given a monetary amount, make change using the fewest coins possible

amount = 16¢      coins?

amount = 96¢      coins?

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## Example: greedy change

while the amount remaining is not 0:

- select the largest coin that is  $\leq$  the amount remaining
- add a coin of that type to the change
- subtract the value of that coin from the amount remaining

e.g.,  $96¢ = 50¢ + 25¢ + 10¢ + 10¢ + 1¢$

will this greedy algorithm always yield the optimal solution?

for U.S. currency, the answer is YES

for arbitrary coin sets, the answer is NO

- suppose the U.S. Treasury added a 12¢ coin

GREEDY:  $16¢ = 12¢ + 1¢ + 1¢ + 1¢ + 1¢$       (5 coins)

OPTIMAL:  $16¢ = 10¢ + 5¢ + 1¢$       (3 coins)

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## Greed is good?

IMPORTANT: the greedy approach is not applicable to all problems

- but when applicable, it is very effective (no planning or coordination necessary)

example: job scheduling

- suppose you have a collection of jobs to execute and know their lengths
- want to schedule the jobs so as to *minimize* waiting time

Job 1:	5 minutes	Schedule 1-2-3: $0 + 5 + 15 = 20$ minutes waiting
Job 2:	10 minutes	Schedule 3-2-1: $0 + 4 + 14 = 18$ minutes waiting
Job 3:	4 minutes	Schedule 3-1-2: $0 + 4 + 9 = 13$ minutes waiting

GREEDY ALGORITHM: do the shortest job first

i.e., while there are still jobs to execute, schedule the shortest remaining job

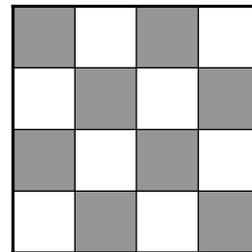
does the greedy algorithm guarantee the optimal schedule? efficiency?

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## Example: N-queens problem

given an  $N \times N$  chess board, place a queen on each row so that no queen is in jeopardy

GREEDY algorithm: start with first row, find a valid position in current row, place a queen in that position then move on to the next row



since queen placements are not independent, local choices do not necessarily lead to a global solution

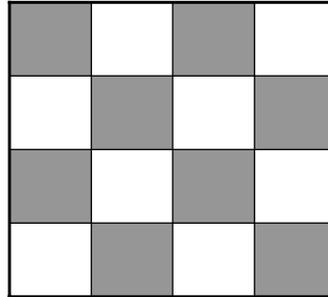
GREEDY does not work – need a more holistic approach

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## Generate & test

we could take an extreme approach to the N-queens problem

- systematically generate every possible arrangement
- test each one to see if it is a valid solution



this will work (in theory), but the size of the search space may be prohibitive

$$4 \times 4 \text{ board} \rightarrow \binom{16}{4} = 1,820 \text{ arrangements}$$

$$8 \times 8 \text{ board} \rightarrow \binom{64}{8} = 131,198,072 \text{ arrangements}$$

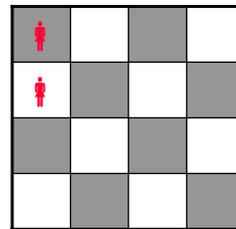
- granted, we could be a little smarter at ruling out possibilities **HOW?**

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## Backtracking

if we were smart, we could greatly reduce the search space

- e.g., any board arrangement with a queen at (1,1) and (2,1) is invalid
- no point in looking at the other queens, so can eliminate 16 boards from consideration



backtracking is a smart way of doing generate & test

- view a solution as a sequence of choices/actions
- when presented with a choice, pick one (similar to GREEDY)
- however, reserve the right to change your mind and backtrack to a previous choice (unlike GREEDY)
- you must remember alternatives:  
*if a choice does not lead to a solution, back up and try an alternative*
- eventually, backtracking will find a solution or exhaust all alternatives

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## N-Queens pseudocode

```
/**
 * Fills the board with queens starting at specified row
 * (Queens have already been placed in rows 0 to row-1)
 */
private boolean placeQueens(int row) {
    if (ROW EXTENDS BEYOND BOARD) {
        return true;
    }
    else {
        for (EACH COL IN ROW) {
            if (([ROW][COL] IS NOT IN JEOPARDY FROM EXISTING QUEENS) {
                ADD QUEEN AT [ROW][COL]

                if (this.placeQueens(row+1)) {
                    return true;
                }
                else {
                    REMOVE QUEEN FROM [ROW][COL]
                }
            }
        }
        return false;
    }
}
```

if row > board size, then all queens  
have been placed already

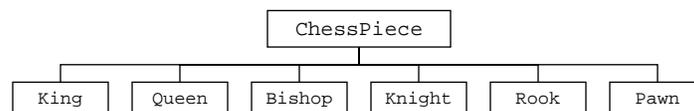
place a queen in available column  
if can recursively place the  
remaining queens, then done  
if not, remove the queen just placed  
and continue looping to try other  
columns

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## Chessboard class

we could define a class hierarchy for chess pieces

- ChessPiece is an abstract class that specifies the common behaviors of pieces
- Queen, Knight, Pawn, ... are derived from ChessPiece and implement specific behaviors



```
public class ChessBoard {
    private ChessPiece[][] board; // 2-D array of chess pieces
    private int pieceCount; // number of pieces on the board

    public ChessBoard(int size) {...} // constructs size-by-size board
    public ChessPiece get(int row, int col) {...} // returns piece at (row,col)
    public void remove(int row, int col) {...} // removes piece at (row,col)
    public void add(int row, int col, ChessPiece p) {...} // places a piece, e.g., a queen,
    // at (row,col)
    public boolean inJeopardy(int row, int col) {...} // returns true if (row,col) is
    // under attack by any piece
    public int numPieces() {...} // returns number of pieces on board
    public int size() {...} // returns the board size
    public String toString() {...} // converts board to String
}
```

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## Backtracking N-queens

```
public class NQueens {
    private ChessBoard board;

    . . .

    /**
     * Fills the board with queens.
     */
    public boolean placeQueens() {
        return this.placeQueens(0);
    }

    /**
     * Fills the board with queens starting at specified row
     * (Queens have already been placed in rows 0 to row-1)
     */
    private boolean placeQueens(int row) {
        if (row >= this.board.size()) {
            return true;
        }
        else {
            for (int col = 0; col < this.board.size(); col++) {
                if (!this.board.inJeopardy(row, col)) {
                    this.board.add(row, col, new Queen());
                    if (this.placeQueens(row+1)) {
                        return true;
                    }
                    else {
                        this.board.remove(row, col);
                    }
                }
            }
            return false;
        }
    }
}
```

in an NQueens class, will have a ChessBoard field and a method for placing the queens

- placeQueens calls a helper method with a row # parameter

BASE CASE: if all queens have been placed, then done.

OTHERWISE: try placing queen in the row and recurse to place the rest

note: if recursion fails, must remove the queen in order to backtrack

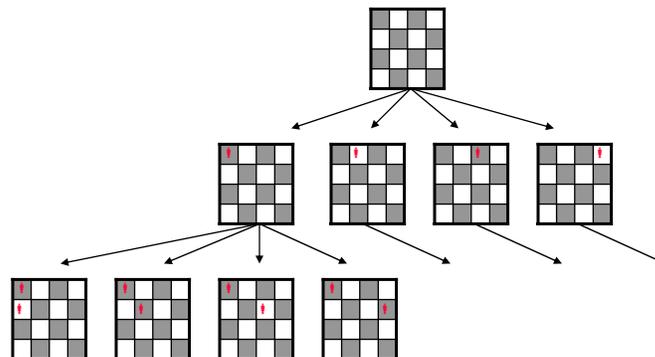
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## Why does backtracking work?

backtracking burns no bridges – all choices are reversible

think of the search space as a tree

- root is the initial state of the problem (e.g., empty board)
- at each step, multiple choices lead to a branching of the tree
- solution is a sequence of choices (path) that leads from start state to a goal state



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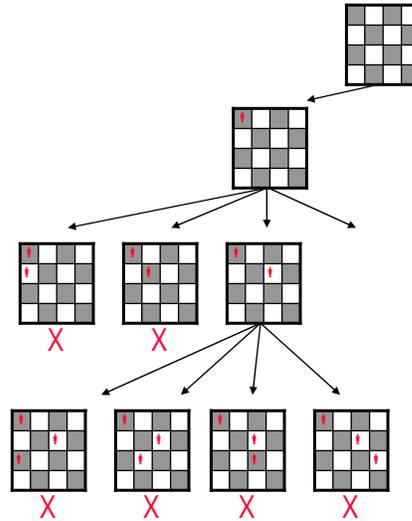
## backtracking vs. generate & test

backtracking provides a systematic way of trying all paths (sequences of choices) until a solution is found

- worst case: exhaustively tries all paths, traversing the entire search space

backtracking is different from generate & test in that choices are made sequentially

- earlier choices constrain later ones
- can avoid searching entire branches

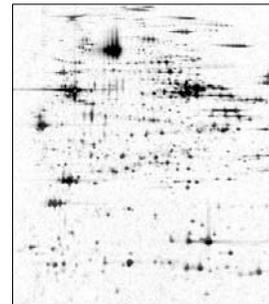


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## Another example: blob count

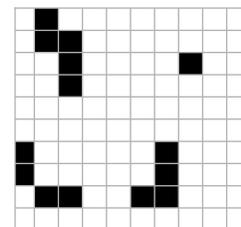
application: 2-D gel electrophoresis

- biologists use electrophoresis to produce a gel image of cellular material
- each "blob" (contiguous collection of dark pixels) represents a protein
- identify proteins by matching the blobs up with another known gel image



we would like to identify each blob, its location and size

- location is highest & leftmost pixel in the blob
- size is the number of contiguous pixels in the blob
- in this small image:
  - Blob at [0][1]: size 5
  - Blob at [2][7]: size 1
  - Blob at [6][0]: size 4
  - Blob at [6][6]: size 4
- can use backtracking to locate & measure blobs



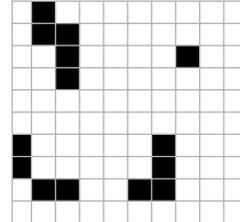
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## Blob count (cont.)

can use recursive backtracking to get a blob's size

when find a spot:

- 1 (for the spot) +
- size of all connected subblobs (adjacent to spot)



note: we must not double count any spots

- when a spot has been counted, must "erase" it
- keep it erased until all blobs have been counted

pseducode:

```
private int blobSize(int row, int col) {
    if (OFF THE GRID || NOT A SPOT) {
        return 0;
    }
    else {
        ERASE SPOT;
        return 1 + this.blobSize(row-1, col-1)
            + this.blobSize(row-1, col)
            + this.blobSize(row-1, col+1)
            + this.blobSize(row, col-1)
            + this.blobSize(row, col+1)
            + this.blobSize(row+1, col-1)
            + this.blobSize(row+1, col)
            + this.blobSize(row+1, col+1);
    }
}
```

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## Blob count (cont.)

findBlobs traverses the image, checks each grid pixel for a blob

blobSize uses backtracking to expand in all directions once a blob is found

note: each pixel is "erased" after it is processed to avoid double-counting (& infinite recursion)

the image is restored at the end of findBlobs

```
public class BlobCounter {
    private char[][] grid;
    . . .
    public void findBlobs() {
        for (int row = 0; row < this.grid.length; row++) {
            for (int col = 0; col < this.grid.length; col++) {
                if (this.grid[row][col] == '*') {
                    System.out.println("Blob at [" + row + "][" + col + "] : size " + this.blobSize(row, col));
                }
            }
        }
        for (int row = 0; row < this.grid.length; row++) {
            for (int col = 0; col < this.grid.length; col++) {
                if (this.grid[row][col] == 'O') {
                    this.grid[row][col] = '*';
                }
            }
        }
    }
    private int blobSize(int row, int col) {
        if (row < 0 || row >= this.grid.length || col < 0 || col >= this.grid.length || this.grid[row][col] != '*') {
            return 0;
        }
        else {
            this.grid[row][col] = 'O';
            return 1 + this.blobSize(row-1, col-1)
                + this.blobSize(row-1, col)
                + this.blobSize(row-1, col+1)
                + this.blobSize(row, col-1)
                + this.blobSize(row, col+1)
                + this.blobSize(row+1, col-1)
                + this.blobSize(row+1, col)
                + this.blobSize(row+1, col+1);
        }
    }
}
```

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## Another example: Boggle



### recall the game

- random letters are placed in a 4x4 grid
- want to find words by connecting adjacent letters (cannot reuse the same letter)
- for each word found, the player earns points = length of the word
- the player who earns the most points after 3 minutes wins

### how do we automate the search for words?

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## Boggle (cont.)

can use recursive backtracking to search for a word

when the first letter is found:

remove first letter & recursively search for remaining letters

again, we must not double count any letters

- must "erase" a used letter, but then restore for later searches

G	A	U	T
P	R	M	R
D	O	L	A
E	S	I	C

pseudocode:

```
private boolean findWord(String word, int row, int col) {
    if (WORD IS EMPTY) {
        return true;
    }
    else if (OFF_THE_GRID || GRID LETTER != FIRST LETTER OF WORD) {
        return false;
    }
    else {
        ERASE LETTER;
        String rest = word.substring(1, word.length());
        boolean result =
            this.findWord(rest, row-1, col-1) ||
            this.findWord(rest, row-1, col) ||
            this.findWord(rest, row-1, col+1) ||
            this.findWord(rest, row, col-1) ||
            this.findWord(rest, row, col+1) ||
            this.findWord(rest, row+1, col-1) ||
            this.findWord(rest, row+1, col) ||
            this.findWord(rest, row+1, col+1);
        RESTORE LETTER;
        return result;
    }
}
```

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## BoggleBoard class

can define a BoggleBoard class that represents a board

- has public method for finding a word
- it calls the private method that implements recursive backtracking
- also needs a constructor for initializing the board with random letters
- also needs a toString method for easily displaying the board

```
public class BoggleBoard {
    private char[][] board;
    . . .

    public boolean findWord(String word) {
        for (int row = 0; row < this.board.length; row++) {
            for (int col = 0; col < this.board.length; col++) {
                if (this.findWord(word, row, col)) {
                    return true;
                }
            }
        }
        return false;
    }

    private boolean findWord(String word, int row, int col) {
        if (word.equals("")) {
            return true;
        }
        else if (row < 0 || row >= this.board.length ||
                 col < 0 || col >= this.board.length ||
                 this.board[row][col] != word.charAt(0)) {
            return false;
        }
        else {
            char safe = this.board[row][col];
            this.board[row][col] = '*';
            String rest = word.substring(1, word.length());
            boolean result = this.findWord(rest, row-1, col-1) ||
                            this.findWord(rest, row-1, col) ||
                            this.findWord(rest, row-1, col+1) ||
                            this.findWord(rest, row, col-1) ||
                            this.findWord(rest, row, col+1) ||
                            this.findWord(rest, row+1, col-1) ||
                            this.findWord(rest, row+1, col) ||
                            this.findWord(rest, row+1, col+1);

            this.board[row][col] = safe;
            return result;
        }
    }
    . . .
}
```

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## BoggleGame class

a separate class can implement the game functionality

- constructor creates the board and fills unguessedWords with all found words
- makeGuess checks to see if the word is valid and has not been guessed, updates the sets accordingly
- also need methods for accessing the guessedWords, unguessedWords, and the board (for display)

SEE BoggleGUI

```
public class BoggleGame {
    private final static String DICT_FILE = "dictionary.txt";
    private BoggleBoard board;
    private Set<String> guessedWords;
    private Set<String> unguessedWords;

    public BoggleGame() {
        board = new BoggleBoard();
        guessedWords = new TreeSet<String>();
        unguessedWords = new TreeSet<String>();

        try {
            Scanner dictFile = new Scanner(new File(DICT_FILE));
            while (dictFile.hasNext()) {
                String nextWord = dictFile.next();
                if (this.board.findWord(nextWord)) {
                    this.unguessedWords.add(nextWord);
                }
            }
        }
        catch (java.io.FileNotFoundException e) {
            System.out.println("DICTIONARY FILE NOT FOUND");
        }
    }

    public boolean makeGuess(String word) {
        if (this.unguessedWords.contains(word)) {
            this.unguessedWords.remove(word);
            this.guessedWords.add(word);
            return true;
        }
        return false;
    }
    . . .
}
```

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